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[Continued on next page]

(54) Title: PYRIDONE DYES, A PROCESS FOR THEIR PREPARATION AND THEIR USE IN THE PRODUCTION OF COLOURED PLASTICS OR POLYMERIC COLOUR PARTICLES

A
$$\rightarrow$$
 N = N \rightarrow Y HO \rightarrow N \rightarrow O (1)

$$\begin{array}{c|cccc}
O & O & O & O \\
\parallel & C \cdot OR_4 & (5) & \parallel & (6) & \parallel & (7) \\
\hline
 & C \cdot OR_4 & (5) & C \cdot NHR_5 & (6) & C \cdot R_6
\end{array}$$

(57) Abstract: The present invention relates to pyrifone azo dyes of formula (1), wherein Λ is the radical of a diazo component of the benzene, naphthalene, diphenyl, azobenzene, thiophene, benzothiazole, benzoisothiazole, thiadiazole, indazole, benzotriazole, pyrazole, anthraquinone, naphthholic acid imide, chromone, phthalimide or diphenylene oxide series, R_1 is hydrogen, unsubstituted or hydroxyl- or phenyl- substituted C_1 - C_6 alkyl, it being possible for the alkyl chain to be interrupted from C_3 upwards by one or more oxygen atoms, or has the meanings of R_2 , R_2 is a radical of formulae (2), (3), (4), (5), (6), or (7), wherein B is a bridging member, R_4 is alkyl or aryl, R_5 and R_6 are each independently of the other alkyl, aryl or heteroaryl and A is as defined for formula (1), or R_1 and R_2 toghether with the nitrogen atom in NR_1R_2 form a heterocyclic ring, Y is cyano, -CONH₂ or CH_2SO_3H , and n is an integer from 2 to 6, to a process for their preparation and to their use in the production coloured plastics or polymeric colour particles.





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Pyridone dyes, a process for their preparation and their use in the production of coloured plastics or polymeric colour particles

The present invention relates to pyridone azo dyes, to their preparation and to their use in the production of coloured plastics or polymeric colour particles.

Pyridone azo dyes and their use in dyeing semi-synthetic or synthetic hydrophobic fibre materials are known. When the known pyridone azo dyes are used for mass-colouring synthetic materials (plastics), it has been shown, however, that those dyes do not always fully satisfy the highest demands, especially in respect of heat resistance, migratability and/or tinctorial strength. There is therefore a need for new pyridone azo dyes that yield thermostable tinctorially strong mass-colorations and exhibit good allround fastness properties.

It has now been found, surprisingly, that the pyridone azo dyes according to the invention meet the criteria given above to a considerable degree.

The present invention accordingly relates to pyridone azo dyes of formula

A
$$\longrightarrow$$
 N = N \longrightarrow Y \longrightarrow HO \longrightarrow N \longrightarrow O \longrightarrow (CH₂)_n \longrightarrow R₁ \longrightarrow R₂

wherein

A is the radical of a diazo component of the benzene, naphthalene, diphenyl, azobenzene, thiophene, benzothiazole, benzisothiazole, thiadiazole, indazole, benzotriazole, pyrazole, anthraquinone, naphtholic acid imide, chromone, phthalimide or diphenylene oxide series, R₁ is hydrogen, unsubstituted or hydroxy- or phenyl-substituted C₁-C₆alkyl, it being possible for the alkyl chain to be interrupted from C₃ upwards by one or more oxygen atoms, or has the meanings of R₂,

R₂ is a radical of formula

O HO
$$N = N - A$$

$$-CNH(CH2)n - N - CH3$$
(2),

$$+O \qquad N = N - A$$

$$-(CH2) - N \qquad CH3 \qquad (3),$$

$$\begin{array}{c|c}
O & O \\
\parallel & \parallel \\
-C - B - C - NH(CH_2) - N \\
O & Y
\end{array}$$

$$\begin{array}{c}
N = N - A \\
-CH_3
\end{array}$$
(4),

wherein B is a bridging member, R_4 is alkyl or aryl, R_5 and R_6 are each independently of the other alkyl, aryl or heteroaryl and A is as defined for formula (1), or R_1 and R_2 together with the nitrogen atom in -NR₁R₂ form a heterocyclic ring, Y is cyano, -CONH₂ or -CH₂SO₃H, and n is an integer from 2 to 6.

A is preferably the radical of a diazo component of the benzene series. Especially preferable as A is a radical of formula

$$R_7$$
 R_{10}
 R_{9}
 R_{9}
 R_{10}

wherein

R₇ is hydrogen or halogen,

 R_8 is hydrogen, halogen, cyano, -CF₃, -COOR₁₁ wherein R_{11} is C_1 - C_4 alkyl and the alkyl chain can be interrupted by oxygen, or is benzyl or -SO₂R₁₂ wherein R_{12} is -NR₁₃R₁₄ or phenyl and R_{13} is hydrogen or C_1 - C_4 alkyl and R_{14} is C_1 - C_4 alkyl or benzyl,

R₉ is hydrogen or halogen, and

R₁₀ is hydrogen or a radical -SO₂-O-C₆H₅.

C₁-C₆Alkyl as R₁ is, for example, methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, amyl, tert-amyl (1,1-dimethylpropyl), 1,1,3,3-tetramethylbutyl, hexyl, 1-methylpentyl, neopentyl, cyclopentyl, cyclohexyl, and the associated isomers and especially methyl. R₁ is preferably methyl, ethyl or the radical -CO-R₆ (7) and especially hydrogen.

Alkyl in the definition of R_4 , R_5 and R_8 is, for example, C_1 - C_4 alkyl, such as methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, sec-butyl and tert-butyl, and also C_1 - C_4 alkyl substituted by C_1 - C_2 alkoxy or by benzyloxy, or C_5 - C_6 cycloalkyl, such as cyclopentyl and cyclohexyl.

Aryl in the definition of R₄, R₅ and R₆ is, for example, phenyl or naphthyl.

A heterocyclic ring formed by R_1 , R_2 and the nitrogen atom in -NR₁R₂ is, for example, a heterocyclic ring of the phthalimidyl, lactam or saccharin series.

Heteroaryl in the definition of R₅ is, for example, thiazolyl, triazolyl or thiadiazolyl.

Heteroaryl in the definition of R₆ is, for example, thiophene or furan.

 C_1 - C_4 Alkyl as R_{11} , R_{13} and R_{14} is methyl, ethyl, propyl, isopropyl, n-butyl, isobutyl, sec-butyl or tert-butyl.

Y is preferably cyano.

Halogen as $\ensuremath{R_{7}},\,\ensuremath{R_{8}}$ and $\ensuremath{R_{9}}$ is bromine, iodine and especially chlorine.

The bridging member B is, for example, phenylene, naphthylene, thienyl or furyl.

n is preferably a number from 2 to 4, especially 2 or 3.

Special preference is given to pyridone azo dyes of formulae

$$CH_3$$
 CN

$$N = N \longrightarrow O$$

$$C-OC_2H_5 \quad HO \quad CH_2CH_2NHCCH_3$$
(11),

$$CH_3$$
 CN

$$N = N$$

$$N = N$$

$$CH_2CH_2NHC$$

$$O = N$$

$$O$$

$$CH_3 CN$$

$$N = N$$

$$O C - OC_2H_5 HO CH_2CH_2NHC - C(CH_3)_3$$

$$O C - OC_2H_5 HO CH_2CH_2NHC - C(CH_3)_3$$

$$CH_3$$
 CN

$$N = N \longrightarrow N$$

$$CH_2CH_2CH_2CH(CH_3)_2$$

$$(14),$$

and

$$CH_3 CN$$

$$N = N - N$$

$$N = N$$

$$N = N - N$$

$$N = N$$

$$N$$

The present invention relates also to a process for the preparation of the pyridone azo dyes of formula (1) according to the invention.

The dyes are prepared, for example, as follows: a compound of formula

A - NH₂ (50)
is diazotised in accordance with a customary procedure and then coupled to a coupling

component of formula

$$CH_3$$
 Y
 HO
 N
 O
 $(CH_2)_n$
 R_1
 R_2
 (51)

A, R₁, R₂, Y and n being as defined above for formula (1).

The diazotisation of the compound of formula (50) is carried out in a manner known *per se*, for example with sodium nitrite in an acidic, e.g. hydrochloric-acid-containing or sulfuric-acid-containing, aqueous medium. The diazotisation may, however, also be carried out using other diazotisation agents, e.g. using nitrosylsulfuric acid. In the diazotisation, an additional acid may be present in the reaction medium, e.g. phosphoric acid, sulfuric acid, acetic acid, propionic acid, hydrochloric acid or a mixture of such acids, e.g. a mixture of propionic acid and acetic acid. The diazotisation is advantageously carried out at temperatures of from -10 to 30°C, for example from -10°C to room temperature.

The coupling of the diazotised compound of formula (50) to the coupling component of formula (51) is likewise effected in known manner, for example in an acidic, aqueous or aqueous-organic, medium, advantageously at temperatures of from -10 to 30°C, especially below 10°C. Examples of acids used are hydrochloric acid, acetic acid, propionic acid, sulfuric acid or phosphoric acid.

The compounds of formula (50) are known or can be prepared in a manner known per se.

The coupling components of formula (51) are known or can be prepared in a manner known per se, for example by reacting a compound of formula

to form a compound of formula

$$CH_3$$
 CN
 N
 O
 $CH_2CH_2NH_2$
 (55)

or, for example, by reacting 2 mol of a compound of formula

$$NC-CH_2-CO-O-CH_2CH_3$$
 (52)

first with a compound of formula

$$H_2N-(CH_2)_2-NH-(CH_2)_2NH_2$$
 (56)

and then with 2 mol of a compound of formula

$$H_3C-CO-CH_2-COO-CH_2CH_3$$
 (54)

to form a compound of formula

Starting from the compounds of formulae (55) and (57), the corresponding compounds of formula (51) can then be prepared in a manner known *per se* by the further reaction of the amino group, for example with benzoyl chloride or acetyl chloride.

The compounds of formulae (52) to (54) and (56) are known and can be prepared in a manner known *per se*.

The present invention relates also to a process for the production of coloured plastics or polymeric colour particles, which comprises mixing together a high molecular weight organic material and a tinctorially effective amount of at least one pyridone azo dye of formula (1).

The colouring of high molecular weight organic substances with the pyridone azo dye of formula (1) is carried out, for example, by mixing such a pyridone azo dye into those substrates using roll mills, mixing apparatus or grinding apparatus, with the result that the pyridone azo dye is dissolved or finely dispersed in the high molecular weight material. The high molecular weight organic material with the admixed pyridone azo dye is then processed using processes known *per se*, for example calendering, compression moulding, extrusion,

coating, spinning, casting or injection moulding, whereby the coloured material acquires its final form. It is also possible for the admixing of the pyridone azo dye to be carried out immediately prior to the actual processing step, for example by continuously feeding a pulverulent pyridone azo dye and, at the same time, a granulated or pulverulent high molecular weight organic material, and optionally also additional ingredients, e.g. additives, directly into the intake zone of an extruder, where mixing takes place just before processing. In general, however, it is preferable for the pyridone azo dye to be mixed into the high molecular weight organic material beforehand, because more even coloration of the substrates can be obtained.

It is often desirable, in order to produce non-rigid mouldings or to reduce their brittleness, to incorporate so-called plasticisers into the high molecular weight compounds prior to shaping. There may be used as plasticisers, for example, esters of phosphoric acid, phthalic acid or sebacic acid. In the process according to the invention the plasticisers may be incorporated into the polymers before or after the incorporation of the colorant. It is also possible, in order to achieve different shades of colour, to add to the high molecular weight organic materials, in addition to the pyridone azo dye of formula (1), also further pyridone azo dyes or other colorants in any desired amounts, optionally together with further additives, e.g. fillers or siccatives.

In order to improve the light fastness properties, UV absorbers are advantageously mixed into the plastics or polymeric particles to be coloured with the pyridone azo dye of formula (1) according to the invention. The amount of UV absorber can vary within a wide range; advantageously there is used from 0.01 to 1.0 % by weight, especially from 0.05 to 0.6 % by weight, more especially from 0.1 to 0.4 % by weight, of a UV absorber, based on the weight of the plastics or polymeric particles.

Suitable UV absorbers are especially 2-(2'-hydroxyphenyl)benzotriazoles, 2-hydroxybenzophenones, esters of substituted or unsubstituted benzoic acid, acrylates, oxamides, 2-(2hydroxyphenyl)-1,3,5-triazines, monobenzoates of resorcinol or formamidines, and a polyester UV absorber of formula

having a specific weight of from 1200 to 1400, preferably from 1300 to 1350, at 25°C.

From the class of the 2-(2'-hydroxyphenyl)benzotriazoles there may be mentioned, for example, 2-(2'-hydroxy-5'-methylphenyl)benzotriazole, 2-(3',5'-di-tert-butyl-2'-hydroxyphenyl)benzotriazole, 2-(5'-tert-butyl-2'-hydroxyphenyl)benzotriazole, 2-(2'-hydroxy-5'-(1,1,3,3-tetramethylbutyl)phenyl)benzotriazole, 2-(3',5'-di-tert-butyl-2'-hydroxyphenyl)-5chlorobenzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-methylphenyl)-5-chlorobenzotriazole, 2-(3'sec-butyl-5'-tert-butyl-2'-hydroxyphenyl)benzotriazole, 2-(2'-hydroxy-4'-octyloxyphenyl)benzotriazole, 2-(3',5'-di-tert-amyl-2'-hydroxyphenyl)benzotriazole, 2-(3',5'-bis(α , α -dimethylbenzyl)-2'-hydroxyphenyl)benzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-(2-octyloxycarbonylethyl)phenyl)-5-chlorobenzotriazole, 2-(3'-tert-butyl-5'-[2-(2-ethylhexyloxy)carbonylethyl]-2'hydroxyphenyl)-5-chlorobenzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-(2-methoxycarbonylethyl)phenyl)-5-chlorobenzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-(2-methoxycarbonylethyl)phenyl)benzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-(2-octyloxycarbonylethyl)phenyl)benzotriazole, 2-(3'-tert-butyl-5'-[2-(2-ethylhexyloxy)carbonylethyl]-2'-hydroxyphenyl)benzotriazole, 2-(3'-dodecyl-2'-hydroxy-5'-methylphenyl)benzotriazole, 2-(3'-tert-butyl-2'-hydroxy-5'-(2-isooctyloxycarbonylethyl)phenylbenzotriazole, 2,2'-methylene-bis[4-(1,1,3,3-tetramethylbutyl)-6benzotriazol-2-viphenol]; the transesterification product of 2-[3'-tert-butyl-5'-(2-methoxycarbonylethyl)-2'-hydroxyphenyl]-2H-benzotriazole with polyethylene glycol 300;

$$\left[R - CH_2CH_2 - COO - CH_2CH_2 - \frac{1}{2} \right] \text{, wherein R} = 3'-\text{tert-butyl-4'-hydroxy-5'-2H-benzotriazol-hydroxy-5'-2H-benz$$

2-ylphenyl; 2-[2'-hydroxy-3'-(α , α -dimethylbenzyl)-5'-(1,1,3,3-tetramethylbutyl)phenyl]-benzotriazole; and 2-[2'-hydroxy-3'-(1,1,3,3-tetramethylbutyl)-5'-(α , α -dimethylbenzyl)phenyl]-benzotriazole.

From the class of the 2-hydroxybenzophenones there may be mentioned, for example, the 4-hydroxy, 4-methoxy, 4-octyloxy, 4-decyloxy, 4-dodecyloxy, 4-benzyloxy, 4,2',4'-trihydroxy and

2'-hydroxy-4,4'-dimethoxy derivatives.

From the class of the 2-(2-hydroxyphenyl)-1,3,5-triazines there may be mentioned, for example, 2,4,6-tris(2-hydroxy-4-octyloxyphenyl)-1,3,5-triazine, 2-(2-hydroxy-4-octyloxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-(2,4-dihydroxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2,4-bis(2-hydroxy-4-propyloxyphenyl)-6-(2,4-dimethylphenyl)-1,3,5-triazine, 2-(2-hydroxy-4-octyloxyphenyl)-4,6-bis(4-methylphenyl)-1,3,5-triazine, 2-(2-hydroxy-4-dodecyloxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-(2-hydroxy-4-tridecyloxyphenyl)-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-[2-hydroxy-4-(2-hydroxy-3-butyloxypropyloxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-[4-(dodecyloxy/tridecyloxy-2-hydroxyphenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-[2-hydroxy-4-(2-hydroxy-3-dodecyloxypropoxy)phenyl]-4,6-bis(2,4-dimethylphenyl)-1,3,5-triazine, 2-(2-hydroxy-4-hexyloxy)phenyl-4,6-diphenyl-1,3,5-triazine, 2-(2-hydroxy-4-methoxyphenyl)-4,6-diphenyl-1,3,5-triazine, 2,4,6-tris[2-hydroxy-4-(3-butoxy-2-hydroxy-propoxy)phenyl]-1,3,5-triazine and 2-(2-hydroxyphenyl)-4-(4-methoxyphenyl)-6-phenyl-1,3,5-triazine.

From the class of the oxamides there may be mentioned, for example, 4,4'-dioctyloxy-oxanilide, 2,2'-diethoxyoxanilide, 2,2'-dioctyloxy-5,5'-di-tert-butoxanilide, 2,2'-diodecyloxy-5,5'-di-tert-butoxanilide, 2-ethoxy-2'-ethyloxanilide, N,N'-bis(3-dimethylaminopropyl)oxamide, 2-ethoxy-5-tert-butyl-2'-ethyloxanilide and a mixture thereof with 2-ethoxy-2'-ethyl-5,4'-di-tert-butoxanilide and mixtures of o- and p-methoxy-disubstituted oxanilides and mixtures of o- and p-ethoxy-disubstituted oxanilides.

As esters of substituted or unsubstituted benzoic acid there may be mentioned, for example, 4-tert-butylphenyl salicylate, phenyl salicylates, octylphenyl salicylates, dibenzoylresorcinol, bis(4-tert-butylbenzoyl)resorcinol, benzoylresorcinol, 2,4-di-tert-butylphenyl 3,5-di-tert-butyl-4-hydroxybenzoate, hexadecyl 3,5-di-tert-butyl-4-hydroxybenzoate, octadecyl 3,5-di-tert-butyl-4-hydroxybenzoate or 2-methyl-4,6-di-tert-butylphenyl 3,5-di-tert-butyl-4-hydroxybenzoate.

From the class of the acrylates there may be mentioned, for example, ethyl- α -cyano- β , β -diphenylacrylate, isooctyl- α -cyano- β , β -diphenylacrylate, methyl- α -carbomethoxycinnamate, methyl- α -cyano- β -methyl-p-methoxycinnamate, butyl- α -cyano- β -methyl-p-methoxy-

cinnamate, methyl- α -carbomethoxy-p-methoxycinnamate or N-(β -carbomethoxy- β -cyanovinyl)-2-methylindoline.

A resorcinol monobenzoate is, for example, a compound of formula

A formamidine is, for example, a compound of formula

$$H_5C_2O$$
 C
 N
 N
 C_2H_5
(62).

Preference is given to the colouring of thermoplastic plastics, especially in the form of fibres, granules or mouldings, for example containers for solid or liquid substances, for example bottles. Preferred high molecular weight organic materials to be coloured according to the invention are very generally polymers having a dielectric constant of ≥ 2.5 , especially polyester, polycarbonate (PC), polystyrene (PS), polymethyl methacrylate (PMMA), polyamide, polyethylene, polypropylene, styrene/acrylonitrile (SAN) or acrylonitrile/butadiene/styrene (ABS). Polyester and polyamide are especially preferred. Very special preference is given to linear aromatic polyesters obtainable by polycondensation of terephthalic acid or naphthalene-2,6-dicarboxylic acid and glycols, especially ethylene glycol, or condensation products of terephthalic acid and 1,4-bis(hydroxymethyl)cyclohexane, for example polyethylene terephthalate (PET), polyethylene naphthalene-2,6-dicarboxylate (PEN) or polybutylene terephthalate (PBTP); also polycarbonates, e.g. those from α,α -dimethyl-4,4-dihydroxy-diphenylmethane and phosgene, or polymers based on polyvinyl chloride and on polyamides, e.g. polyamide-6 or polyamide-6,6.

The pyridone azo dyes according to the invention impart to the mentioned materials, especially to polyester material, tinctorially strong, level colour shades having very good inuse fastness properties, especially good light fastness and high-temperature light fastness. Special mention may be made of the good migration fastness and thermomigration fastness of the dyeings obtained.

The invention relates also to a process for the production of coloured plastics or polymeric colour particles, which comprises using a combination of a pyridone azo dye of formula (1) and a UV absorber, wherein the meanings and preferred meanings given above for the pyridone azo dyes of formula (1) and the UV absorbers apply.

The invention relates also to the plastics that have been mass-coloured by the processes mentioned above.

The following Examples serve to illustrate the invention. Unless otherwise indicated, the parts are parts by weight and the percentages are percentages by weight. The temperatures are given in degrees Celsius. The relationship between parts by weight and parts by volume is the same as that between grams and cubic centimetres.

Example 1:

(A) 140.0 ml of water are placed in the reactor vessel and cooled to 0-5°C. In the course of 30 minutes, 405.2 ml of ethylenediamine and then 215.4 ml of ethyl cyanoacetate are then added dropwise, the temperature being kept below 20°C by cooling. The reaction mixture is then stirred at 20°C for 16 hours, the excess ethylenediamine is distilled off *in vacuo* at 60°C and the mixture is stirred at 40°C for 1 hour.

253.0 ml of ethyl acetoacetate are then added dropwise, the temperature being raised to 70°C towards the end of the dropwise addition. As soon as the addition of the ethyl aceto-acetate is complete, 168.0 ml of a 30% aqueous ammonia solution and then 1400.0 ml of propanol are added, and the reaction mixture is stirred for 16 hours at 70-75°C. The resulting suspension is filtered, introduced into 1500.0 ml of ethanol, stirred for 14 hours at 20°C and filtered again. The filter cake is washed with a total of 1000.0 ml of ethanol in portions and dried.

263.6 g of a compound of formula

$$\begin{array}{c} \text{CH}_3 \\ \text{HO} \\ \text{N} \\ \text{O} \\ \text{CH}_2\text{CH}_2\text{NH}_2 \end{array} \tag{55}$$

are obtained.:

(B) 50.0 g of the compound of formula (55) are suspended in 500.0 ml of water, heated to 60°C, adjusted to pH 1.0 with 32% HCl and stirred for three hours at 60°C. A crystalline precipitate is then removed from the reaction mass by filtration, the mother liquor is adjusted to pH 9.0 with 30% aqueous NaOH, and 40.0 ml of benzoyl chloride are added. The reaction mixture is then stirred for 18 hours, the pH value being kept at 9.0, if necessary by the addition of HCl. 100.0 g of NaCl are then added, and the fully reacted reaction mass is adjusted to pH 0.5 with 32% HCl and stirred at 0°C until the product has precipitated. The precipitated reaction product is filtered off with suction and dried.

93.5 g of a product containing 62.3 g of a compound of formula

$$CH_3$$
 CN
 $CH_2CH_2-NH-C=O$
(58)

are obtained.

- (C) 10.6 ml of ethyl anthranilate are placed in 110.0 ml of 98% acetic acid at from 15 to 20°C, and 22.0 g of 32% HCl are added. 17.8 ml of 4N NaNO₂ are then added dropwise to the reaction mass, and stirring is carried out for 1 hour at 10-15°C. The excess nitrite is then destroyed with sulfamic acid.
- (D) 14.9 g of the compound of formula (58) prepared according to (B) are placed in 150.0 ml of water; 22.0 g of 30% aqueous NaOH are added and the mixture is heated to 50°C. 120 g of the diazo solution prepared according to (B) are then added dropwise to the resulting suspension, the temperature being kept at 15°C by the addition of about 200.0 g of ice. Once the addition is complete, the pH value is adjusted to 5.0 and the reaction mass is stirred for 16 hours.

300.0 ml of water are then added, and the fully reacted reaction mass is heated to 70°C, filtered with suction, washed with water and dried.

20.0 g of a pyridone azo dye of formula

$$CH_3 CN$$

$$N = N$$

$$CH_2CH_2NHC$$

$$O C + OC_2H_5 + OCH_2CH_2NHC$$

$$O C + OC_2H_5 + OCH_2CH_2NHC$$

$$O C + OC_2H_5 + OCH_2CH_2NHC$$

are obtained, which dyes polyester in yellow shades.

Example 2:

(A) 111.95 ml of diethylenetriamine are placed in a reaction flask and 236.95 ml of ethyl cyanoacetate are added at 20°C, the temperature rising to about 75°C. The reaction mixture is stirred for 30 minutes, and then 1000.0 ml of 94% ethanol are added and the mixture is stirred for 2 hours at 72°C (reflux). 278.3 ml of ethyl acetoacetate, 168.0 ml of a 30% aqueous ammonia solution and 3000.0 ml of 94% ethanol are then added in succession and the mixture is stirred for a further 41 hours at reflux.

The resulting suspension is filtered with suction at 75°C, then washed with a total of 500.0 ml of 94% ethanol in portions, and then 2000.0 ml of 94% ethanol are added and the mixture is stirred for 14 hours at 20°C. The suspension is then filtered with suction, the filter cake is washed with a total of 1000.0 ml of 94% ethanol in portions and dried *in vacuo*.

235.6 g of a compound of formula

are obtained.

(B) 92.35 g of the compound of formula (57) are suspended in 1000.0 ml of water, and the suspension is heated to 60°C, adjusted to pH 1.0 with 32% HCl and stirred for three hours at 60°C. A crystalline precipitate is then removed from the reaction mass by filtration, the mother liquor is adjusted to pH 9.0 with 30% aqueous NaOH, and 40.0 ml of benzoyl chloride are added. The reaction mixture is then stirred for 18 hours, the pH value being maintained at 9.0, if necessary by the addition of HCl.

200.0 g of NaCl are then added and the fully reacted reaction mass is adjusted to pH 0.5 with 32% HCl and stirred at 0°C until the product has precipitated. The precipitated reaction product is filtered off with suction and dried.

90.0 g of a compound of formula

$$CH_3$$
 CN
 NC
 O
 N
 O
 O
 N
 O
 $CH_2CH_2 - N - H_2CH_2C$
 $C=O$
 (59)

are obtained.

- (C) 1.65 g of ethyl anthranilate are placed in 10.0 ml of glacial acetic acid at from 15 to 30°C, 0.6 ml of 96% sulfuric acid is added and the mixture is stirred for 30 minutes. 1.85 ml of 40% nitrosylsulfuric acid are then added dropwise over the course of 10 minutes to the reaction mass, and the mixture is stirred at 17-20°C for 1 hour.
- (D) 100.0 ml of dimethylformamide (DMF) are taken as starting material and, with stirring, 2.4 g of the compound of formula (59) and 10.0 ml of ice water are added. Then, in the course of 30 minutes, at a temperature of 5-10°C there are added dropwise the diazo solution prepared under (C) and, at the same time, in order to maintain the pH value at 3-4, 22.0 ml of a 10% aqueous NaOH solution.

The reaction mixture is stirred for 4 hours at 0-5°C, then adjusted to pH 4.6 and stirred for a further 17 hours at 20°C. The resulting suspension is filtered with suction, washed in succession with 25.0 ml of 80% DMF, ethanol and water until pH > 6 and dried.

3.3 g of a pyridone azo dye of formula

are obtained,

which dyes polyester in yellow shades.

<u>Examples 3-185</u>: Analogously to the processes described in Examples 1 and 2, it is also possible to prepare the following dyes listed in Tables 1 to 16, which likewise dye polyester in yellow shades:

A - N = N

HO

N

O

$$CH_2CH_2$$
-NH-C=O

A	A
соосн _з	CI—CF ₃
SO ₂ —	CF ₃

COOCH2CH2OCH2CH3	COOCH2CH3
SO ₂ O—	CI—COOCH ₃
CN	SO ₂ NCH ₂ —

Table 2

A - N = N

$$CH_3$$
 CN
 $CCH_2CH_2-NH-C=O$

A	Α .
COOCH³	CF ₃
	CI
SO ₂	CF ₃

COOCH2CH2OCH2CH3	COOCH ₂ CH ₃
SO ₂ O—	CI—COOCH ₃
CN	SO ₂ NCH ₂

Table 3

Α	Α
COOCH3	CI—CF ₃
SO ₂	CF ₃

COOCH ₂ CH ₂ OCH ₂ CH ₃	COOCH ₂ CH ₃
SO ₂ O—	CI—CI
CN	SO ₂ NCH ₂

Table 4

A - N = N

$$CH_3$$
 CN
 OCH_3
 $CH_2CH_2NC=O$
 CH_2

A	A
COOCH3	CI—CF ₃
SO ₂	CF ₃

COOCH2CH2CH3	COOCH ₂ CH ₃
SO ₂ O	CI—COOCH ₃
CN	SO ₂ NCH ₂

Table 5

A - N = N

$$CH_3$$
 CN
 CN
 CH_2CH_2
 CN

A	ΑΑ
COOCH ₃	CF ₃
	,CF ₃
SO ₂	

COOCH2CH2CH3	COOCH ₂ CH ₃
SO ₂ O	CI—CI
CN	SO ₂ NCH ₂ —

Table 6

A - N = N

HO

$$\begin{array}{c}
CH_3\\
O\\
O\\
CH_2CH_2NHC=O
\end{array}$$

: A	Α
COOCH3	CF ₃
so	CF ₃
SO ₂	

COOCH ₂ CH ₂ OCH ₂ CH ₃	COOCH ₂ CH ₃
SO ₂ O	CI
CN	SO ₂ NCH ₂

A - N = N

$$CH_3$$
 CN
 $CH_2CH_2CH_2NHC=0$

A	. A
COOCH ₃	CI—CF ₃
SO ₂	CF ₃

COOCH2CH2CH3	COOCH ₂ CH ₃
SO ₂ O—	CI—COOCH ₃
CN	SO ₂ NCH ₂

A	Α
COOCH ₃	CI—CF ₃
SO ₂ —	CF ₃

COOCH2CH2CH3	COOCH ₂ CH ₃
SO ₂ O—	CI COOCH3
CN	SO ₂ NCH ₂

Table 9

$$A - N = N$$

$$HO$$

$$CH_{2}CH_{2}$$

$$CH_{2}CH_{2}$$

A	A
COOCH3	_CF₃
	CI
SO ₂	CF ₃

COOCH2CH2OCH2CH3	COOCH ₂ CH ₃
SO ₂ O	CI—COOCH ₃
CN	SO ₂ NCH ₂

$$A - N = N$$

$$HO$$

$$O$$

$$CH_2CH_2 - N$$

$$SO_2$$

Α	Α.
COOCH3	CI—CF ₃
SO ₂ —	CF ₃

COOCH ₂ CH ₂ OCH ₂ CH ₃	COOCH ₂ CH ₃
SO ₂ O—	CI COOCH ₃
CN	SO ₂ NCH ₂ —

Table 11

SO ₂	CF ₃
COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃
SO ₂ O—	CI—COOCH ₃
CN	SO ₂ N-CH ₃

Table 12

$$CN$$
 O $CH_2CH_2-N-CH_2CH_2-N$ CH_3 CH

<u> </u>	
A	Α
COOCH ₃	COOCH
COOCH3	CI—CF ₃
SO ₂ —	CF ₃
COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃
SO ₂ O—	CI—COOCH ₃

Table 13

$$CN$$
 O CH_3 O CN
 $C=O$ CH_2 CH_2 CH_2 CH_2 CH_3 CH_3
 CH_3 CH_4 CH_5 CH_5

Α	A
CI—COOCH ₃	COOCH ₂ —
COOCH3	CI—CF ₃
SO ₂	CF ₃
COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃

SO ₂ O—	CI—COOCH ₃
CN	SO ₂ N-CH ₃

$$CN$$
 O $CH_3)_3$ O CN $C=O$ CH_2 CH_2 CH_2 CH_2 CH_3 CH_3 CH_3 CH_4 CH_5 C

Α	A
CI—COOCH3	COOCH ₂ —
COOCH3	CF ₃
SO ₂	CF ₃

COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃
SO ₂ O	CI—COOCH ₃
CN	CH ₃ SO ₂ N-CH ₃

$$CN$$
 O CH_2CI O CN $C=O$ CN $CH_3CH_2-N-CH_2CH_2-N$ CH_3 C

Α	. A		
CI—COOCH ₃	COOCH ₂ —		
COOCH3	CI—CF ₃		

SO ₂	CF ₃
COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃
SO ₂ O	CI—COOCH ₃
CN	CH ₃ SO ₂ N-CH ₃

Table 16

$$CN$$
 O CH_2OCH_3 O CN $C=O$ CH_3 $CH_2CH_2-N-CH_2CH_2-N$ CH_3 $CH_$

A	Α
CI—COOCH ₃	COOCH ₂ —

: · · · · · · · · · · · · · · · · · · ·	
COOCH3	CI—CF ₃
SO ₂	CF ₃
COOCH ₂ CH ₂ OCH ₃	COOCH ₂ CH ₃
SO ₂ O—	CI—COOCH ₃
CN	SO ₂ N-CH ₃

Dyeing Example 1:

1200.00 g of polyester granules (PET Arnite D04-300, DSM) are pre-dried for 4 hours at 130°C and then mixed homogeneously with

0.24 g of the pyridone azo dye of formula (14)

in a "roller rack" mixing apparatus for 15 minutes at 60 revolutions per minute.

The homogeneous mixture is extruded in an extruder (twin screw 25 mm, from Collin, D-85560 Ebersberg) with 6 heating zones at a maximum temperature of 275°C, cooled with water, granulated in a granulator (Turb Etuve TE 25, from MAPAG AG, CH-3001 Bern) and then dried at 130°C for 4 hours.

The resulting yellow-coloured polyester granules have good allround fastness properties, especially very good light fastness and high-temperature light fastness properties.

Dyeing Example 2:

1200.00 g of polyester granules (PET Arnite D04-300, DSM) are pre-dried for 4 hours at 130°C and then mixed homogeneously with

0.60 g of the pyridone azo dye of formula (14) and

3.60 g of a UV absorber of formula

$$H_3C$$
 CH_3
 H_3C
 CH_3
 CH_3

in a "roller rack" mixing apparatus for 15 minutes at 60 revolutions per minute.

The homogeneous mixture is extruded in an extruder (twin screw 25 mm, from Collin,

D-85560 Ebersberg) with 6 heating zones at a maximum temperature of 275°C, cooled with
water, granulated in a granulator (Turb Etuve TE 25 from MAPAG AG, CH-3001 Bern) and

then dried at 130°C for 4 hours.

The resulting yellow-coloured polyester granules have good allround fastness properties, especially very good light fastness and high-temperature light fastness properties.

What is claimed is:

1. A pyridone azo dye of formula

$$A \longrightarrow N = N \longrightarrow Y$$

$$HO \longrightarrow N \longrightarrow O$$

$$(CH_2)_n$$

$$R_1 \longrightarrow R_2$$

$$(1),$$

wherein

A is the radical of a diazo component of the benzene, naphthalene, diphenyl, azobenzene, thiophene, benzothiazole, benzoisothiazole, thiadiazole, indazole, benzotriazole, pyrazole, anthraquinone, naphtholic acid imide, chromone, phthalimide or diphenylene oxide series, R_1 is hydrogen, unsubstituted or hydroxy- or phenyl-substituted C_1 - C_6 alkyl, it being possible for the alkyl chain to be interrupted from C_3 upwards by one or more oxygen atoms, or has the meanings of R_2 ,

R₂ is a radical of formula

$$\begin{array}{c|c}
 & HO & N = N - A \\
 & \\
 & CNH(CH_2)_n - N & CH_3
\end{array}$$
(2),

$$-(CH2)n N = N - A$$

$$-(CH2)n N = CH3 (3),$$

(7),

$$\begin{array}{c}
O \\
-C - OR_4
\end{array}$$

$$\begin{array}{c}
O \\
-C - NHR_5
\end{array}$$
(6) or

wherein B is a bridging member, R_4 is alkyl or aryl, R_5 and R_6 are each independently of the other alkyl, aryl or heteroaryl and A is as defined for formula (1), or R_1 and R_2 together with the nitrogen atom in -NR₁R₂ form a heterocyclic ring, Y is cyano, -CONH₂ or -CH₂SO₃H, and n is an integer from 2 to 6.

2. A pyridone azo dye according to claim 1, wherein A is a radical of formula

$$R_7$$
 R_{10}
 R_{9}
(8),

wherein R_7 is hydrogen or halogen, R_8 is hydrogen, halogen, cyano, -CF₃, -COOR₁₁ wherein R_{11} is C_1 - C_4 alkyl and the alkyl chain can be interrupted by oxygen, or is benzyl or -SO₂R₁₂ wherein R_{12} is -NR₁₃R₁₄ or phenyl and R_{13} is hydrogen or C_1 - C_4 alkyl and R_{14} is C_1 - C_4 alkyl or benzyl, R_9 is hydrogen or halogen, and R_{10} is hydrogen or a radical -SO₂-O- C_6 H₅.

3. A pyridone azo dye according to claim 1 of formula

O, C-OC₂H₅ CH₃ CN CN CH₃ O, C-OC₂H₅

$$N = N$$

$$HO CH2CH2-N-CH2CH2 OH (10),$$

$$C=O$$

$$CH_3 CN$$

$$N = N - O O$$

$$C+OC_2H_5 HO CH_2CH_2NHCCH_3$$

$$(11),$$

$$CH_3$$
 CN

$$N = N$$

$$N = N$$

$$CH_2CH_2NHC$$

$$O = N$$

$$O$$

$$CH_3$$
 CN

$$-N = N$$

$$CH_3$$
 CN

$$N = N \longrightarrow O$$

$$CH_2CH_2CH_2OCH(CH_3)_2$$
(14),

or

$$CH_3$$
 CN

$$N = N \longrightarrow N$$

$$N = N \longrightarrow N$$

$$CH_2CH_2NH_2$$
(15).

4. A process for the preparation of a pyridone azo dye of formula (1) according to claim 1, in which process a compound of formula

$$A - NH_2 \tag{50}$$

is diazotised in accordance with a customary procedure and then coupled to a coupling component of formula

HO N O (51),
$$(CH_2)_n$$
 R_1 R_2

A, R₁, R₂, Y and n being as defined for formula (1).

5. A process for the production of coloured plastics or polymeric colour particles, which comprises incorporating one or more pyridone azo dyes of formula (1) into those materials.

- 6. A process for the production of coloured plastics or polymeric colour particles, which comprises using a combination of a pyridone azo dye of formula (1) according to claim 1 and a UV absorber.
- 7. Use of a pyridone azo dye of formula (1) according to claim 1 in the production of coloured plastics or polymeric colour particles.
- 8. Plastics or polymeric colour particles coloured according to either claim 5 or claim 6.

INTERNATIONAL SEARCH REPORT

International Application No
PCEP 02/02150

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER C09B29/36 D06P1/00 C07D213	3/85		
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According to	o International Patent Classification (IPC) or to both national classific	eation and IPC		
B. FIELDS	SEARCHED			
Minimum do	ocumentation searched (classification system followed by classificat C09B D06P C07D	ion symbols)		
110,	0038 8001 0078			
Documenta	tion searched other than minimum documentation to the extent that	such documents are included in the fields se	arched	
	ata base consulted during the international search (name of data ba			
CHEM A	BS Data, EPO-Internal, WPI Data, PA	J, BEILSTEIN Data		
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.	
X	GB 1 296 857 A (CIBA-GEIGY AG) 22 November 1972 (1972-11-22)		1-8	
	*page 30, No.20; page 106, No. 43 and 44; *			
	page 4, line 12 - line 20; claim	ns .		
A	EP 0 763 576 A (CANON KK)		1-8	
	19 March 1997 (1997-03-19) claim 1			
			•	
A	GB 1 361 250 A (CIBA GEIGY AG)		1-8	
	24 July 1974 (1974-07-24) page 3, line 10; example 5			
		•		
	·			
			·	
	:			
<u> </u>	her documents are listed in the continuation of box C.	Patent family members are listed i	n annex.	
	ttegories of cited documents :	"T" later document published after the inte- or priority date and not in conflict with		
consic	ent defining the general state of the art which is not lered to be of particular relevance	cited to understand the principle or the invention		
filing c	"E" earlier document but published on or after the international filling date "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to			
which	"L" document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention			
"O" docum	"O" document referring to an oral disclosure, use, exhibition or other means "O" document referring to an oral disclosure, use, exhibition or other means "O" document is combined with one or more other such document is combined being obvious to a person skilled			
"P" docume	ent published prior to the international filing date but han the priority date claimed	in the art. "&" document member of the same patent	• • • • • • • • • • • • • • • • • • • •	
Date of the	actual completion of the international search	Date of mailing of the international sea	rch report	
7	May 2002	23. 07. 0g		
Name and	mailing address of the ISA	Authorized officer		
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Riswijk Tol (1817 70) 140-2000, Tx, 21-851 and al			
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Härtinger, S	·	



Box i Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful international Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this international Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international Search Report is restricted to the invention first mentioned in the cialms; it is covered by claims Nos.:
1 (part) - 8 (part)
Remark on Protest The additional search fees were accompanied by the applicant's protest.
No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

- 1. Claims: 1(part)-8(part)
 - pyridone dyes wherein R2 is a radical of formula (2) or (4)
- 2. Claims: 1(part)-8(part)
 - pyridone dyes wherein R2 is a radical of formula (3)
- 3. Claims: 1(part)-8(part)
 - pyridone dyes wherein R2 is a radical of formula (5), (6) or (7)

INTERNATIONAL SEARCH REPORT

formation on patent family members

International Application No PC P 02/02150

Patent document cited in search report		Publication date		Patent family member(s)	Publication · date
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